

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re the Application of:

NED M. SMITH, ET AL.

Application No.:

Filed: February 15, 2001

For: **METHOD OF ENFORCING  
AUTHORIZATION IN SHARED  
PROCESSES USING ELECTRONIC  
CONTRACTS**

Art Group:

Examiner:



**INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

In accordance with the duty of disclosure under 37 CFR § 1.56 and in accordance with 37 C.F.R. § 1.97, enclosed is a copy of Information Disclosure Citation Form PTO-1449 together with copies of the documents cited on that form. It is respectfully requested that the cited documents be considered and that the enclosed copy of the Information Disclosure Citation Form PTO-1449 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s).

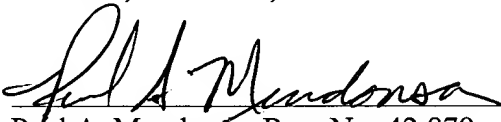
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Please charge any additional fee due to Deposit Account 02-2666. A duplicate copy of the Fee Transmittal is enclosed for this purpose.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Date: February 15, 2001

  
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				Application Number Filing Date First Named Inventor Group Art Unit Examiner Name Attorney Docket Number	
Sheet	1	of	1	February 15, 2001 Ned M. Smith  42390P10462	

JCS997 U.S. PTO  
 09/784941  
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OTHER ART (Including Title, Author, Date, Pertinent Pages, etc.)

Exam. Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.
	1	JON HOWELL, DAVID KOTZ; "An Access-Control Calculus for Spanning Administrative Domains", Technical Report PCS-TR99-361, Pages 1-36, Department of Computer Science, Dartmouth College, Hanover, USA.
	2	MARTIN SACHS, JOHN IBBOTSON; "Electronic Trading-Partner Agreement for E-Commerce", Pre-submission Draft, Version: 1.0.3, 25 January 2000, Pages 1-86, IBM.
	3	MARTIN SACHS, ASIT DAN, THAO NGUYEN, ROBERT KEARNEY, HIDAYATULLAH SHAIKH, DANIEL DIAS; "Executable Trading-Partner Agreements in Electronic Commerce", 2000, Pages 1-22, IBM.
	4	C. ELLISON, "SPKI-Requirements-rfc2692", The Internet Society, September 1999, Pages 1-13.
	5	C. ELLISON, B. FRANTZ, B. LAMPSON, R. RIVEST, B. THOMAS, T. YLONEN; "SPKI-Theory-rfc2693", The Internet Society, September 1999, Pages 1-39.
	6	"Business to Business Integration with Trading Partner Agreements", www.ibm.com/software/big/papers, 2000, Pages 1-11, IBM.

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